

From Organizations to Organizational Fields: The Evolution of Civic Innovation Ecosystems

Matthew Claudel

*“The things we fear most in organizations”
– fluctuations, disturbances, imbalances –
are the primary sources of creativity.*

Margaret J. Wheatley

Author, speaker, and management consultant

Contemporary approaches to urban technology emphasize local “innovation ecosystems”. Two organizational models – living labs and innovation integrators – are commonly used as hubs to broker these ecosystems. Curiously, both coexist in some cities, allowing a comparison of their impact and an analysis of their development over time and in context. The case studies presented in this article suggest that our analytical frameworks for technology policy may fall short, in that they contemplate only the organizations themselves – the living labs or innovation integrators. The dynamics observed in each city are well articulated, however, in the sociotechnical systems literature. The hub can be understood as a “niche”, which fosters radical innovations and new processes. As these prototypes are increasingly deployed and accepted, there is a regime shift, ultimately creating an experimentalist culture that fills the role previously held by the hub. This conclusion is neither a challenge to ecosystem theory nor a critique of innovation policy and its implementation. Rather, I suggest that we must extend these theoretical frameworks, drawing on sociotechnical systems literature to better account for institutions and for systems change as we design policy for urban technology. This article therefore makes a contribution by using a sociotechnical systems lens to explain the evolution of local urban innovation ecosystems.

Introduction

Urban technology is a growing area of economic, social, and political opportunity, but the appropriate model for creating it remains a debate in both academia and practice. We are now many years into the urban technology movement – the ideas of smart cities, living labs, urban technology, e-government, etc. have become familiar. The contemporary innovation ecosystem approach emphasizes the need for a “hub” organization that coordinates local stakeholders (for example, by facilitating idea-transfer into and out of city government, translating between technologists and non-profits, hosting prototyping facilities, or pooling funds). Two main hub models have emerged in recent years – living labs and innovation integrators. This article evaluates the operations of these two organization models, and their dynamic change over time, using a unique case design: cities where both co-exist.

At this stage in the history of urban technology policy, it is important to understand the success of various approaches, as they have been deployed in real cities and matured over time. To that end, this article presents an analysis of eight organizations: four living labs and four innovation integrators in four different cities. Using semi-structured interviews with stakeholders inside and outside the organizations, I characterize the structure, typical projects, and outcomes of each organization. From this analysis, I draw three primary conclusions. First, living labs and innovation integrators have evolved since their founding, following one of three paths: they specialize, split into multiple entities, or shift to a mediating role. This is not a failure of the organizations, but rather a result of a change in the surrounding urban context. As the ecosystem becomes more familiar with urban technology, there is less need for a “hub”, and the organizations are free to specialize to their strengths. Developing urban technology is no

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longer the responsibility of a single hub organization, but is now a collaborative goal shared by multiple actors, project by project. Second, city governments are successfully working with their local ecosystems independently, rather than procuring urban technology from large IT firms or relying on a central innovation hub (the ecosystem hub approach). Finally, several specific barriers to urban technology (such as access to resources, networking, and testing in public space), which were initially lowered by living labs and innovation integrators, are now mitigated by cross-sector networks, shared culture, and mutual trust. It is difficult to frame the observed evolution of living labs and innovation integrators purely within the theoretical framework of urban technology systems. However, by considering the city as a sociotechnical system – beyond a narrow analysis of the organizations themselves – it becomes clear that the hubs' early projects created conditions for a more open, distributed mode of working among various stakeholders.

Innovation Ecosystems and Hub Organizations

The original computing- and efficiency-oriented vision of smart cities has been redirected (Stratigea et al., 2015). A contemporary approach to urban technology directly addresses many of the critiques of the smart city (Curley, 2016), now accounting for non-economic, non-technological outcomes, and spanning sectors: public, private, academic, and citizen (Vanolo, 2014). In this “innovation ecosystem” framework, the definition and development of projects both involve many and varied stakeholders (Snow et al., 2016). Proponents have argued that this can improve outcomes, for example, by revealing local conditions during problem definition, leveraging community expertise during project development, or accounting for livelihoods as the result is integrated (Desouza & Bhagwatwar, 2012; Voss & Carolan, 2012). This is because urban technology is uniquely concerned with the supply side (participation, innovation) and the demand side (use, integration), in that it links local actors, institutions, and places in the fulfilment of societal functions (Cohen et al., 2016; Kontokosta, 2016). Independent of technological or economic outcomes, the innovation process itself can generate collective social benefit through empowerment, equity of access, and capacity-building (Booher & Innes, 2002; Gerometta et al., 2005; Shin & Shin, 2016).

Consider Amsterdam's Biogas Boat as an example of the urban innovation ecosystem approach (Table 1). The Biogas Boat is a floating, mobile restaurant that converts organic waste into fertilizer and biogas, which it then uses for cooking meals. The project involved five differ-

ent supporting partners, crowdfunding, academic research facilities, a diverse project team, and a unique set of urban assets, including Amsterdam's canals, disused boats, and a post-industrial site.

Table 1. Urban waste innovation in Amsterdam

Dimension	Description
Technology	Biogas Boat
Finance	<ul style="list-style-type: none"> • Crowdfunding: €10,000 • Municipality: €10,000 • Foundation: €30,000 • Research funds: €10,000 • <i>Total: €60,000</i>
Brief	<p>“In the Biogas Boat, we are building a biogas digester that converts all the organic waste produced at De Ceuvel [Café] into biogas, which can be used to cook with. ... In the near future, she will visit festivals to collect organic matter to convert into gas and fuel, thereby showing a larger audience the great potential of waste.” (Biogas Boat, 2018)</p>
Project Team	<ul style="list-style-type: none"> • Simme Andriesma (Entrepreneur/Designer) • Rolf Steenwinkel (Amsterdam Energie) • Enki Energy Company (Technology Specialist) • Huib Koel (Boat Designer)
Project Partners	<ul style="list-style-type: none"> • City of Amsterdam • Stichting DOEN Foundation • Triodos Foundation • BAM Construction • Amsterdam University of Applied Sciences (HvA)
Deployment	One boat at De Ceuvel Café
Challenges	<p>“We are still waiting for the permit to be issued for the project, before putting everything together. The permit process has been very complicated, and we are still not completely sure whether we will get it.” (Project team member)</p>
Outcomes	<p>“Our main aim is to carry out this first experiment and make it work on De Ceuvel. The aim is to inspire people to see what can be done with our waste locally. Secondly, we will share the lessons we learned from the project with, for example, the local water authority. They will be able to use these lessons for local bio-digestion projects on a neighbourhood scale.” (Co-founder of Café de Ceuvel)</p>

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Contrary to traditional R&D, the urban innovation ecosystem process is inherently ad hoc, shaped by local challenges, resources, and stakeholders (Cooke, 2016; Gascó, 2016). This presents two primary challenges. The first is aggregating the necessary tools and resources, including funding, hardware prototyping facilities, collaborators, data for benchmarking, or regulatory approval to deploy prototypes (Leminen et al., 2012; Schaffers & Turkama, 2012). The second, closely related, challenge is effective collaboration across stakeholder groups, each with distinct “languages” (Bakici et al., 2013; Wareham & Almirall, 2011). For example, a policy maker and a data scientist may not share a mental model for traffic systems, despite both working on autonomous cars. Cross-sectoral innovation therefore requires translation. Biogas Boat merged traditionally siloed sectors: academia (organic waste processing technology), De Ceuvel Café (restaurant operations), government (waste management regulation), in addition to the core team (experts in energy systems, design entrepreneurship, and boat design) and the public, through a crowdfunding campaign and volunteer build-teams. This project demonstrates the social and recombinant nature of innovation: it is the result of local networks (Schumacher & Feurstein, 2007).

Many academics have contemplated the structure of innovation networks and have examined the role of “innovation intermediaries”, which translate projects from collaborative exploration to innovative exploitation (Cooke, 2008; Leminen et al., 2012; Nilsson & Sia-ljungström, 2013; Wareham & Almirall, 2011). These intermediaries perform aggregation and mediation functions: they consolidate resources (financial, technological, and talent), and translate between knowledge bases (through convening, consultancy, and best practice). Specific to urban technology, two general models have emerged to fill the hub role: *living labs* and *innovation integrators* (Bakici et al., 2013; Foster & Iaione, 2016). Municipal policy makers seeking to create an urban innovation ecosystem launch such an organization (Gascó, 2016; Juujarvi & Lund, 2016).

Living labs have origins in academia and industry, while innovation integrators began as brokers of civic technology in city governments, such as e-government platforms, IT infrastructure, and smart sensors (see the next section for a short history of the two organization types). Yet both share the hub organizational model (Figure 1). They support initial ideation, or, “exploration” – as both entirely new projects and the recombination of existing resources – and support subsequent implementation or “exploitation” (Cooke, 2008, 2016;

March, 1991). Many offer an area for experimentation or actively organize programs and innovation challenges. By engaging their contexts, and publicly demonstrating examples of civic technology, these organizations “perform context-based experimentation in order to generate new socially negotiated meanings for products and services” (Wareham & Almirall, 2011). The surrounding ecosystem is then defined by this central actor. “According to the literature, the ecosystem structure is determined by the position and the role that the ‘ecosystem hub’ – or the individual organization that directs the ecosystem – plays” (Visnjic et al., 2016).

Living Labs and Innovation Integrators

Building on a longer history in academia, the contemporary manifestation of the living lab concept was articulated by William J. Mitchell, Kent Larson, and Alex (Sandy) Pentland at the Massachusetts Institute of Technology (MIT) in the early 2000s. According to its website (livinglabs.mit.edu): “MIT Living Labs brings together interdisciplinary experts to develop, deploy, and test – in actual living environments – new technologies and strategies for design that respond to this changing

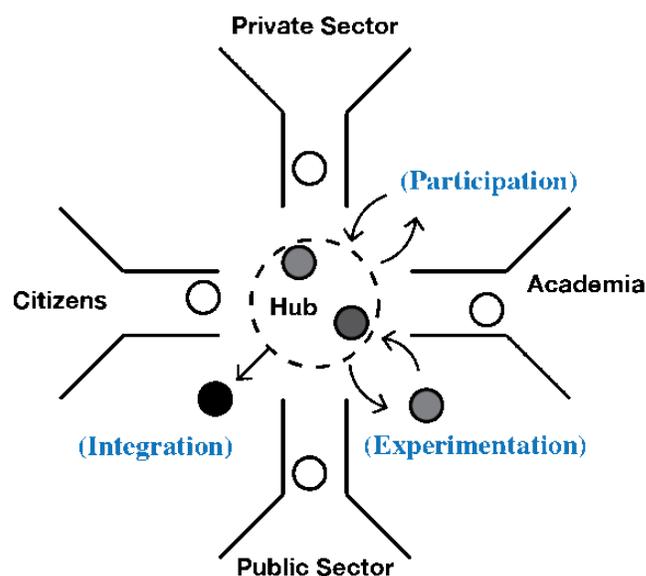


Figure 1. The Innovation Ecosystem Hub Model. The hub centralizes innovation activity by aggregating resources and brokering between different sectors. Circles indicate projects at various levels of completion: participatory problem definition; experimental project development; and integrative product deployment. Figure adapted from Curley and Salmelin (2013) and Visnjic and co-authors (2016).

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world. Our work spans in scale from the personal to the urban, and addresses challenges related to health, energy, and creativity.”

Subsequent implementation happened primarily in Europe, where living labs gained significant political legitimacy in 2006 from the Finnish Presidency. The *Helsinki Manifesto* (Finland’s EU Presidency, 2006) called for “a new European R&D and innovation system”, and framed living labs as a crucial “paradigm shift for the whole innovation process”. The statement was endorsed by the European Union (EU) and, in the same year, existing organizations were formally linked through the EU-sponsored European Network of Living Labs (ENoLL; enoll.org). One of the primary purposes of the network was to systematize the many organizations – which had quickly become heterogeneous in structure and practice (Mulder et al., 2008) – around a common set of principles. A concomitant report from the European Commission defined the living lab as “a user-driven open innovation ecosystem based on a business–citizen–government partnership that enables users to take an active part in the research, development and innovation process for new services, products and societal infrastructures” (Peltomaki, 2008). The model is based on testing in real-world environments, citizen engagement, and linking stakeholders – as enumerated in the original report. “Involving all relevant players of the value network via partnerships between business, citizens, and government... Allowing for early assessment of the socio-economic implications of new technological solutions by demonstrating the validity of innovative services and business models” (Peltomaki, 2008). For the purposes of this article, I consider labs that are recognized as at least “Adherent Members” of ENoLL, which is its most open membership class.

The *innovation integrator* is equally active, but less cohesively defined. It is more directly associated with municipal governments, often beginning as either a “change unit” or a technology group. These brokering organizations span into and out of city hall, bridging a gap between technical capacity and practical deployment opportunities. Bakici and colleagues (2013) describe them as autonomous “public innovation intermediaries” that work in close partnership with businesses, academia, government, and citizens. “[The] role of public innovation intermediaries is to build networks of organizations and then be the first to attract all the project ideas from these networks... In general, city halls provide financing and incentives for projects and/or legislative support, such as offering opportunities for experimentation” (Bakici et al., 2013). A number

of case studies have documented the practices of these intermediaries, for example, how Boston Mayor’s Office of New Urban Mechanics pioneered the integration of digital systems into government (Crawford & Walters, 2013) and how Forum Virium in Helsinki sparked ideation for civic problems through open data, hackathons, crowdsourcing, and public innovation contests (Almirall et al., 2014). These innovation integrator organizations experiment with new technologies, through maintaining a local network of actors, directly engaging with citizens, and co-developing with citizens and with firms.

Case Design with Co-Existing Organizations

Hundreds of living labs and innovation integrators are in operation around the world, and a critical evaluation is needed to understand their impact on urban innovation ecosystems. Conceptual and semantic ambiguity has frustrated research on place-based, but technologically agnostic, “territorial innovation systems” (Moulaert & Sekia, 2003). Research focused on specifically urban technology has examined the practices and effectiveness of living labs and innovation integrators independently (Cohen et al., 2016), but none has compared the two. Overarching surveys of the urban technology field have mapped academic disciplines, practical methods, and trends in the discourse (Brynskov et al., 2014).

Comparative research remains vexed. On one hand, analysis of a single organization, or the innovation dynamics of a single city, can provide thorough information (e.g., Juujarvi & Lund, 2016; Scholl & Kemp, 2016; Snow et al., 2016), but insights are difficult to generalize. On the other hand, comparisons of the same organization type across cities can lead to more general conclusions (e.g., Gascó, 2016; Bakici et al., 2013), but must address the problem of comparability across unique contexts, and more importantly, against the other organization type. Broadly speaking, this is the challenge of applying heuristic tools to cities – a well-recognized wicked problem for urban science (Webber & Rittel, 1973). To overcome these hurdles, I both exploit and investigate the phenomenon of co-existence, allowing us to observe how each organization type contends with the same set of local conditions. The central question of this article therefore becomes a useful analytical tool.

The panel of nested cases (Table 2) is further structured to disentangle factors that are specific to each city and those that are shared among cities (Flyvbjerg, 2006; Yin, 2013). This approach bridges the depth of small case

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Table 2. Nested cases and interviewees (LL = living lab; ii = innovation integrator)

City & Organization	Interviewee	Title
Aarhus (Denmark)	Line Gerstrand Knive	Office of the Mayor, Aarhus Municipality; Co-founder, Smart Aarhus
	Mads Peter Laursen	Godsbanen, Founder, Director
	Michael Troelsen	Urban Innovation, Office of Environment & Technology, Aarhus Municipality, Director
<i>LL: Digital Urban Living Labs (DULL)</i>	Jesper Algren	Head of Central Denmark Regions ICT
	Martin Brynskov	Professor, Aarhus University; Chair, DK Smart City
<i>ii: ITK Lab</i>	Bo Fristed	Founder and Director, ITK; Aarhus CIO
	Anne Vest Hansen	Head of ITK Lab
	Louise Overgaard	Development Consultant, ITK
	Dennis Borup Jakobsen	Project Manager, ITK
Amsterdam (Netherlands)	Ger Baron	Chief Technology Officer, City of Amsterdam
<i>LL: Urban Management Field Lab</i>	Willem van Winden	Professor, University of Applied Sciences Amsterdam Board Member, Urban Lab
	Robert van den Hoed	Professor, University of Applied Sciences Amsterdam
<i>ii: Amsterdam Smart City</i>	Maaïke Osieck	Head of Communications & Engagement
	Vivienne Bolsius	Business Development Manager
Barcelona (Spain)	Gerardo Pisarello Prados	Deputy Mayor, Barcelona
<i>LL: i2Cat</i>	Josep Paradells Aspas	Director, i2Cat
<i>ii: Barcelona Digital City</i>	Joan Batlle Montserrat	Asst. Director, Dept. Creativity & Innovation
	Anna Majo	Digital Innovation Technical Director, Barcelona City Council
Copenhagen (Denmark)	Morten Kabell	Mayor for Environment & Tech, Copenhagen
<i>LL: National Green Lab for Lighting & Smart Urban Technologies (DOLL)</i>	Kim Bostrøm	Head and CTO of DOLL; CTO Gate 21
	Teddy Larson	Senior Project Manager, DOLL
<i>ii: Copenhagen Solutions Lab</i>	Marius Sylvestersen	Program Manager, Copenhagen Solutions Lab

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studies with the breadth of larger surveys (Storper et al., 2015). The cities are comparable along factors of the analytical logic: for example, cities host all stakeholder types (including university and large industry), and each has an open data platform and embedded digital infrastructure (enabling various forms of experimentation and participation).

Conversely, variation between cities – across specific factors that relate to the analytical logic – ensures that no single variable is independently responsible for the observed outcomes. Given the focus of this study, the factors that could potentially influence innovation dynamics are:

- *Population*: this factor defines the local market size and attractiveness to international businesses.
- *Political status as a capital*: this factor may impact a city's access to national or international funding for public projects.
- *Nation* (regulation and trade, economy-type, language, education system, etc.): this factor may define innovation activity as well as scale-up opportunities – the panel therefore includes cities within the same country, and cities across different countries).
- *City government* (how long the administration has been in office) *and structure* (e.g., a “strong-mayor system” versus a council system): these factors dictate the creation, communication, and realization of a civic vision.

Analysis

Organization-level evaluation of eight cases

I first examined each organization individually, using interviews with leadership, site visits, and basic data. The analysis considered:

- Organizational structure (internal staff and organization; external partnerships)
- Primary mode of operations (what specific actions the organization carries out)
- Key projects (most prominent or typical project of the organization)
- Outcomes and development (results, sustainability, and evolution over time)

Table 3 briefly characterizes the structure and operational model of each organization. Furthermore, it lists two projects from each organization that typify its work and gives a broad overview of each organization's development over time.

In these eight cases, no organization successfully and sustainably performs as a hub to the ecosystem. Over time, these eight organizations have followed one of three trajectories:

1. *Specialize*: focus operations to achieve a targeted outcome
(3 cases: UM Field Labs, DOLL, i2Cat)
2. *Split*: multiple sub-organizations or project tracks each specialize
(3 cases: CPH Solutions Lab / Street Lab, ITK Lab / Street Lab, BCN's urban test site)
3. *Move to a mediating role*: assume a more abstract platform role
(2 cases: AMS Smart City, DULL becomes Smart Aarhus)

1. Specialize: focus operations to achieve a targeted outcome

Financial constraints have pushed i2Cat to specialize and focus on industry contracts: “now most of our projects are for companies. They want to keep the IP... We can develop solutions and sell you this knowledge” (Josep Paradells Aspas). Specialization has eclipsed the living lab approach itself, to the point that “the living lab methodology is not applied to all projects. In some cases, the company has clear ideas about what they want. They know how to validate the success.”

Similarly, DOLL “is a marketplace for procurement. Our goals are: 1. Help public decision-makers with a business case and evidence in a non-mature market, so they can do intelligent spending; 2. Help industry test integration and start making solutions with [new] technology. There is a big business opportunity” (Kim Bostrøm). DOLL's tests inform technology development (e.g., engineering specifications) but are not a vehicle for user feedback. Currently, “we don't have a way of collecting what they think about it. In the future, we imagine user focus groups.” Though DOLL provides infrastructure for testing, it is not involved with ideation or technology itself – rather, the leadership frames DOLL as “an open-air catalogue” with a strong model for industry and municipal membership.

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Table 3. The eight organizations – four living labs and four innovation integrators – and their host cities

Organization	Structure	Operations	Projects	Outcomes and Development
UM FieldLab Amsterdam	HvA partners with communities, businesses and gov. to find local challenges, and apply solutions	Co-creation, academic research, and neighbourhood application of social science	Youth & Debt Climate Street	Mixed outcomes, generally projects have limited scale (only academic, or application at neighbourhood-scale); now doing research with, and about, AMS Smart City
DOLL Copenhagen	Tech prototype testing in a suburban office park; industry membership model, and procurement program with cities	Provide testing infrastructure to members; an “open air catalogue” for buyers; networking	Smart Urban Services Lighting Metropolis	Strong outcomes; a robust financial model, high-tech projects, corporate and civic partnerships, and a growing global network; now expanding into other urban tech (integrating systems). Considering expanding to other countries with a franchise model.
i2Cat Barcelona	Non-profit “research and innovation center”; public and private sector contracts	Develop early-stage hardware and software prototypes, “from need to solution”, but does not bring them to market	Sentillo Platform Mobile Payment System	Mixed outcomes; financial instability (majority of funding from public grants) has led to prioritizing corporate contracts; public funding limits team size and compensation; now working with BCN Digital City to set up CatLab, a fab lab network of maker libraries
CPH Solutions Lab Copenhagen	PPP with city gov. and companies, to test, procure and scale; finding tech solutions to urban challenges and applying them in city departments	Managing Street Lab, a downtown tech testing site; networking; creating a new model for tender and procurement; open data platforms	Smart Parking Crowd-source Bike Lane Mapping	Mixed outcomes; disparity of the financial model and topic-definition (company-led tech testing vs. community-defined civic challenges) has caused a split into two project types (Street Lab and Solution Lab); now focusing on both tech products and a “new approach” to real-world challenges
ITK Lab Aarhus	An innovation team based in the Culture and Citizen Services Dept. that spans across city gov; a large team with diverse skills and varied projects	Citizen engagement; tech prototype testing; developing a proof-of-concept, handing it off to other departments or companies; EU-funding proposals	Dokk1 & Digital Library System Drones for City Services	Strong outcomes; an increasingly large and diverse team carries out projects in two categories: tech-first (beginning with new tech and finding civic applications) and problem-first (beginning with challenges and finding tech); now focused on internal change for city hall; starting a downtown street lab for prototype testing, inspired by CPH Solutions Lab
AMS Smart City Amsterdam	PPP with univ, city gov. and companies to issue challenges and create solutions; industry member model; organization is structured according to urban challenge topics	Program to embed in industry representatives in city gov; online repository of resources; foreign delegation visits; active networking	CityZen AMS Smart City Community & Smart City Academy	Strong outcomes; stable, lightweight model organized on urban challenge topic areas (e.g. waste, mobility, energy); now taking an increasingly global focus (Smart City Academy, online resources, delegation program & City Protocol for standards)
DULL Aarhus	Connecting regional living labs, projects and initiatives under one umbrella, to access larger funding	Regular meetings, networking, collaborative projects and EU funding proposals	Insero Living Lab Egmont Hoejskolen	Weak outcomes; DULL has ceased to exist, having served its purpose – stakeholders are now collaborating and securing funding without it; DULL has effectively transitioned into Aarhus Smart City and ITK
BCN Digital City Barcelona	Merger of the city’s tech and business dev departments; public, but works through private partnerships	Creates digital policy; applies tech inside city hall; manages a participation platform online and runs co-creation workshops	Vincles BCN Open Budget	Strong outcomes, in terms of tech policy (Barcelona Digital Plan) internal change for city hall (Open Budgeting), social innovation projects (Vincles BCN); now innovating in civic processes (tying innovation challenges, to the “urban lab” test site, to new procurement models)

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2. *Split: multiple sub-organizations or project tracks each specialize*

Owing to the success of their initial library innovation, ITK now has a broader scope, as a design-innovation team for the municipality. “In the past two years, we have been looked to as the innovation team for any department... Part of ITK are still doing things for libraries, but a growing part of us are doing things for other departments in the municipality” (Anne Vest Hansen). One portion of ITK will stay in this role, and another will soon launch a City Lab downtown, beside Dokk1, to prototype technology, gather data, test, and demonstrate public applications. “This is going to be a place to play football, go to a concert – and we have a lot of activities planned – but beneath the pavement, and in the light posts, we are putting up sensors [and digital infrastructure]” (Bo Fristed). Companies will be invited to install new technologies – for procurement by Aarhus municipality, or for other cities to visit. The diverging focus is apparent: another interviewee in ITK stated that, “for social engagement, it doesn’t make sense to have a ‘lab.’ Would you ask some people for feedback and not others, who are outside the lab boundary? This is against the idea of engagement” (Louise Overgaard).

Aarhus City Lab is directly inspired by Copenhagen Street Lab, where the innovation integrator is “creating a common ground for linking city problems to the marketplace – harvesting private innovation for civic projects... It’s a nice way of testing, and it makes it very easy to scale up – if it works in Copenhagen, in the Street Lab, it will work anywhere... We have aimed for ultra-realism in the way we have been building the lab. That gives us very realistic conditions when we are doing innovation projects and deploying new types of equipment” (Marius Sylvestersen). Street Lab has a clear focus on high-tech systems, and has a financially stable industry membership model. And yet, it is fracturing: the program manager stated “What I’ve been thinking about lately, that is quite new to us, is to turn away from doing innovation, just looking into tech stuff, and actually be driven by the needs of the city. That is a very different approach... In the beginning, we were tech-focused. Now we are looking for ‘wicked problems.’ We are turning away from tech stuff and really looking at the needs of the city – looking at citizens. This is a big shift. It’s a new approach” (Marius Sylvestersen). Moving forward, CPH Street Lab will have two distinct project categories, with two different test sites, focus areas, funding streams, and partnerships.

3. *Mediate: assume a more abstract platform role*

Several organizations cease to actively engage in innovation projects and transition to providing a digital repository or connecting other organizations and stakeholders. AMS Smart City began with a public-private partnership between city government, the university of applied sciences, and energy companies, with the aim to innovate in the domain of energy and sustainability. The success of this project, CityZen, led to a broader focus on urban technology, but less specificity: the organization is not directly involved with projects. “Our day-to-day business is connecting people and organizations to work on urban challenges... We don’t do project management, but we keep actively in touch, facilitating innovation... partners can connect, share knowledge, share projects, ask questions” (Maaike Osieck).

The organizations that *specialize* or *split* sacrifice their role as a central hub, whereas the organizations that *mediate* sacrifice project-specific activity (i.e., ideation, prototyping, deployment). In short, both organization types have evolved significantly from their original set of goals. Yet these four cities are widely recognized as global leaders of urban technology, and – with the exception of DULL – the eight organizations continue to be important agents of that success. How has each organization evolved over time, and in its urban context? To better understand the motivations for organizational change, it is necessary to consider the city as a whole, emphasizing the relationships between the co-located organizations, and among local stakeholders.

Ecosystem-level: Four cities

Considering the development of these four cities as ecosystems, the organizations have evolved for three primary reasons: 1) to manage threats to longevity by specializing; 2) the emergence of a common language and denser networks; and 3) the diffusion of technology across the city.

1. *Longevity through specialization:* In Barcelona, i2Cat focused on contract R&D for financial reasons, but also became a key partner for BCN Digital City, both to develop urban technology infrastructure and to set up new fab labs. “Now we have a new program called CatLabs, that is still being developed, together with the municipality... We provide training, support, tools [to] support the maker community” (Josep Paradells Aspas). In Copenhagen, DOLL focuses on commercial technology and industry membership, but contracts to the city and to CPH Solutions Lab.

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The latter has itself split into two project types in order to maintain conflicting outcomes of specialization: financial stability, partner relationships, and citizen engagement.

2. *A common language and denser networks:* DULL was initially a small group that pooled funding for projects in Aarhus. Several new organizations and events were soon founded, including Aarhus Smart City, Aarsome, City of Culture 2017, Media Architecture Biennale, and the annual Internet Week Denmark, which built awareness and a common language across the city. That is, the population developed a fluency with the idea of urban technology, or a “social imagination” (Archibugi, 2017). By that point, DULL had served its purpose – there was no longer a need for a translator. “I would say that the Digital Urban Living Lab, as a living lab, is dead. It’s somehow finished... Cities are more mature with the smart city... [Aarhus] changed from a secretariat to calling it an ecosystem, like a network” (Jesper Algren). Most civic innovation activity now happens under the banner of Smart Aarhus, an independent entity that serves to brand projects and provide visibility. “The ecosystem works very well now, it’s very well established. It’s no longer ‘innovation activities’ with high risk – there are now a lot of business cases and use cases. It sounds more simple than it is, but it’s all about networks” (Line Gerstrand Knive).
3. *Diffusion of technology:* In addition to social diffusion, urban technology is also spatially distributed throughout the city, and therefore intersects with daily life. “Copenhagengers are used to being lab rats – they’re used to seeing new things... This also spurs new innovations. We actually see several companies being formed. People say ‘I see the city has these goals, now I can prove that,’ and they develop a new solution” (Morten Kabell). A shared culture makes these demonstrations more effective, even generative of new ideas. It also ensures longevity – ITK focuses more on building networks than on technology. “We are not selling a product, but doing projects – don’t deliver a discrete thing, but create relationships. For a project to be agile and sustainably integrated, it must be based on relationships” (Louise Overgaard). In Aarhus, Barcelona, and Copenhagen, the living labs have recently partnered with the local innovation integrator on a downtown test site.

These cases show that living labs and innovation integrators are becoming increasingly interdependent with

their local networks. Crucially, distributed innovation activity is happening *without* a strong-handed central broker. This clear pattern in the development of each city’s ecosystem should lead us to question the hub-based model that anchors contemporary ecosystem theory: the literature assumes that a central hub is necessary to drive an open innovation process. To the contrary, I found that interaction among local businesses, citizens, organizations, and governments is happening organically (Figure 2). According to a city official in Aarhus, “We don’t even think about it anymore. If we get an idea, we get in touch” (Line Gerstrand Knive). Stakeholders are connecting opportunistically project by project in response to challenges, opportunities, existing communities, technologies, etc. They are distributing their strengths and capacities, and sharing positive outcomes – constituting an “organizational field” (Storper et al., 2015) that renders the hub roles obsolete.

Discussion: From an Organization to an Organizational Field

The evolution of each organization in this study demonstrates that the hub model is obsolete. This conclusion is neither a challenge to innovation ecosystem theory nor a critique of innovation policy and its implementation. Rather, I suggest that we must extend the theoretical framework to account for two factors. First, to better account for socially-constructed institutions and how they enable a decentralized mode of innovation (Moulaert, 2001), a so-called “organizational field”. Second, to better examine sociotechnical system change over time (Geels, 2004). My reading is evolutionary as well as ecosystemic.

Urban technology creates local culture, and local culture creates urban technology (Hall, 2003). The initial work of living labs and innovation integrators demonstrated the opportunity and value of civic innovation, as described by Huguenin and Jeannerat (2017). Over time, the number and scope of projects increased, creating cross-sector networks, shared culture, and mutual trust. The sociotechnical systems literature describes this activity in the context of a theory of change: “Niches are important, because they provide locations for learning processes, e.g. about technical specifications, user preferences, public policies, symbolic meanings. Niches are locations where it is possible to deviate from the rules in the existing regime. The emergence of new paths has been described as a ‘process of mindful deviation’... This means that rules in technological niches are less articulated and clear-cut. There may be

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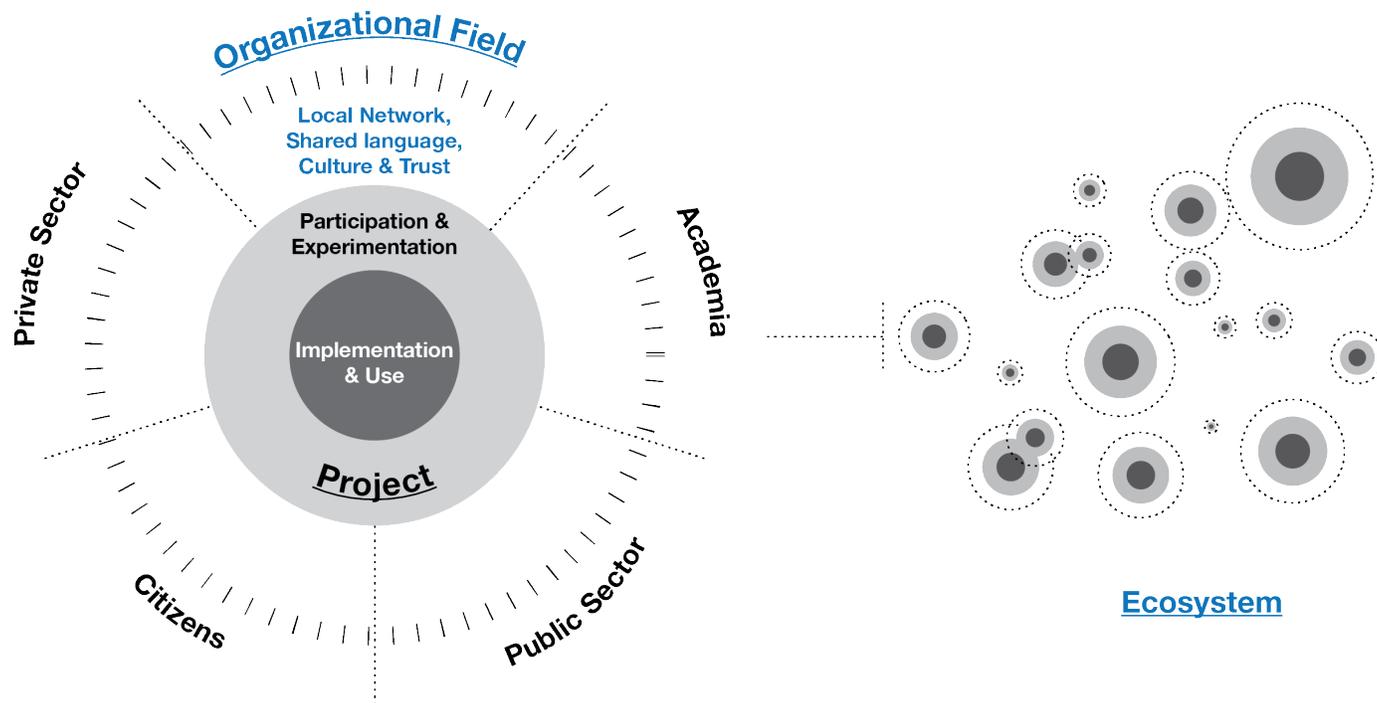


Figure 2. The Distributed Ecosystem Organization. Stakeholder groups converge around a project, through an organizational field of networks, shared language, culture, and trust. They collaborate on participation and experimentation, bringing a project to implementation and use. Many projects run simultaneously, and stakeholders cross into several different projects, share resources, or build capacities.

uncertainty about technical design rules and search heuristics, and niches provide space to learn about them” (Geels, 2004). The hubs were “niches” that allowed mindful deviation toward regime change. The organizational field then supports civic innovation by fostering participation and experimentation, as did the initial hub model.

Participation

As more and more citizens – particularly those who are not city planners, technologists, or entrepreneurs – share a vision of civic innovation, participation becomes more natural. The community was initially activated for participatory ideation, for example, by BCN Digital City’s challenges and Copenhagen Solutions Lab’s bike mapping. Digital maps and co-design workshop-challenges are now intuitive and broadly accessible across the population. Many and varied stakeholders are using them, effectively becoming co-creators with city government. AMS Smart City began with a corporate member program, for which companies sent an employee to be embedded in city government – ostensibly acting as a liaison between the

company and the city, but more importantly, building trust and shared goals. “The [link] speeds up things. That person has embedded knowledge of both sides, and that is core to the program” (Vivienne Bolsius). Not only does this allow more effective collaboration on projects *during* the program, but it also enables agile cooperation between the city and the firms in the future, when there may be higher stakes or unexpected conditions. “To achieve a future-proof city, the network is important” (Vivienne Bolsius). Through this program, energy companies have developed smart grid applications that better account for energy policy goals while achieving efficiency, for example. They are now working on a strategy for the complete transition from gas to fully electric utilities.

Experimentation

In their original state, living labs and innovation integrators served to mitigate the risk inherent to urban experimentation by providing a controlled test site or legal fail-safes, for example. An organizational field can alleviate much of the same risk, through dense networks, mutual trust, and repeated interactions. DOLL

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“ha[s] a standing relationship with the municipality. They grant us flexibility, and they are one of our consortium members... It is in an industrial area, so we can install what we like... It’s ok to fail” (Kim Bostrøm). The relationship stands in place of a complex legal regime for regulatory exemptions or costly liability insurance.

A similar risk existed in De Ceuvel and the Biogas Boat. Flexibility in city zoning enabled De Ceuvel, trust among stakeholders de-risked the initial prototype, and local networks lent momentum to the collaborative project – all at the local scale. But the Biogas Boat is now impeded by national-level regulations. The project team leader states, “we currently have finished the base of the boat. We have also finished the container with digester system at a different location [in an academic lab facility]. However, we are still waiting for the permit to be issued for the project, before putting everything together. The permit process has been very complicated, and we are still not completely sure whether we will get it. The Dutch laws concerning bio-digestion are very strict, even small scale projects need an exemption.” De Ceuvel and the Biogas Boat were enabled by a niche at the city level, and the hurdle of national-level regulation highlights the importance of place-based experimentation.

Godsbanen is a creative community in downtown Aarhus, home to artists and entrepreneurs, many of whom work in precariously stacked shipping containers and self-built studios. Despite its informal construction, the project is condoned by the city. Godsbanen is a story of “not having a lot of permissions, but just doing”, notes Troelsen, a city official. “For me, it has always been a question of looking [the founder, Mads Peter Laursen] in the eye, and asking: ‘The real necessary things, like fire risk, do you know how to manage them? And the rest, well, let’s leave it for another day.’ Because, otherwise, you cannot experiment, you cannot have these preliminary things. That’s the reason why Godsbanen has been so great... an experimenting district. We’ve looked away on the rules and regulations, as long as they take care of each other” (Michael Troelsen). As a result of this experimentalist culture, Godsbanen has attracted passionate innovators who have produced a wide variety of new ideas.

The translation from urban experiments into urban systems crucially depends on the organizational field. In Aarhus, Dennis Borup Jakobsen is a drone pilot and enthusiast who was motivated to begin exploring applications of the technology for the public sector. His initial trials of drone imaging in emergency situations were al-

lowed by police and fire departments, and they demonstrated clear gains in quality, speed, and cost efficiency. Rather than depending on ITK for technology development, however, those departments opted to start their own drone programs. ITK is assisting them with setup – securing a budget, procuring a fleet of drones, and developing operations protocol – and will then cease to be involved. The initial deployment was enabled by mutual trust, and the collaborative capacity-building ultimately allows for more effective integration, in which police and fire departments own and operate their own systems. The project will result in a city-wide emergency response system and a publication of insights and technical protocols that can be used by any city in Denmark. Furthermore, the same employee is now pursuing a drone system for infrastructure monitoring, but it conflicts with national-level regulations. The current drone experiment was allowed to operate within a fixed radius, with supervision by an operator. The new proposal is for drones to fly linearly, over long distances and outside of city limits, to evaluate power lines. This could bring efficiencies and result in significant cost reduction, but it is prohibited by current national regulations. He is working to secure exemption in order to demonstrate a proof of concept, and he ultimately hopes to change national regulatory parameters. As in the case of the Biogas Boat, the local ecosystem enabled technology beyond what is contemplated in national regulation.

In Barcelona, city government is committed to integrating the results of participatory experiments. “We use the city as a lab: to experiment, to find new solutions for common problems. The municipality gets pilots on the streets, and companies are happy to sit down with users. [At first] this was just experimentation – learning, but no buying. Now, we are going beyond... We are linking design and experimentation to procurement” (Anna Majo). Open innovation challenges and neighbourhood-level engagement are directly connected to high-level policy, procurement and regulation – a process that is traditionally fraught with cumbersome (even prohibitive) procurement hurdles. This is evidence of a broader regime shift, beyond pilot and demonstration projects.

Future Research

The observed dynamics raise three questions that merit further research. The first is developmental: Must every urban innovation ecosystem mature through a hub phase, in the process of growing an organizational field? Though the hub role ultimately became obsolete,

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the initial niche of living labs and innovation integrators were crucial in fostering nascent projects. Without their early activity, a common vision may never have coalesced. This should be of primary concern to policy makers contemplating the appropriate support strategy for catalyzing an urban innovation ecosystem.

The second question is scale. A common culture, participation, word-of-mouth, trust-based experiments – these are most effective as informal interactions at a small scale. A social construct of civic innovation will simply be easier in a smaller city. Tellingly, the most active city, Aarhus, is also the smallest. Problems of scale are evident in the divergence of local culture and national policies. Can trust-based, socially-constructed institutions exist robustly at a larger scale?

Finally, there is a question of formalization and the role of policy makers. The cases show evidence of the public sector building its own innovation capacity: granting area-specific regulatory exemption (Amsterdam); changing public procurement mechanisms and criteria (Barcelona); co-creating with citizens (Copenhagen); building tech-literacy across city government (Aarhus), and more. City governments appear to be acting in newly innovative ways using the tools at their disposal. Amsterdam, for example, has recently hired the original founder of AMS Smart City as the Chief Technology Officer, with a mandate of internal transformation in city government. The Deputy Mayor of Barcelona sees his role as “doing R&D in politics – no one has done this before. We are learning by doing, and really doing things” (Gerardo Pisarello Prados). But this initiative is not without conflict. How can policy makers engage and support their urban innovation ecosystems in a way that is safe, equitable, legal, and replicable? Future research will investigate the changing role of the public sector, building on theories of public entrepreneurship (Foster & Iaione, 2018; Klein et al., 2010; Ostrom, 1965, 2005).

Conclusion

A contemporary innovation ecosystem approach to urban technology leans on a hub organization: living labs and innovation integrators have been used to shepherd urban technology development. This article considered eight such organizations in cities where both models coexist. Over time, living labs and innovation integrators deviated from their original hub role, along one of three general paths: they specialized, they split into multiple entities, or they assumed a platform role (or closed entirely).

Yet, the four case cities remain at the global forefront of urban technology – each city has matured into a well-functioning innovation ecosystem. As conceptualized by Geels (2004), systems, actors, and institutions are distinct, and change occurs in their dynamic interaction. The living labs and innovation integrators provided an initial niche that fostered experimentation with a new (urban) technology category, its associated institutions, and its development pathways. Their success caused a regime shift – local stakeholders aligned around the topic and approach of civic innovation, forming an organizational field – and the ecosystem itself now serves certain core functions (enabling participation and experimentation) that were formerly filled by the hubs. This insight advances urban technology policy and scholarship: the hub model should be extended to better account for sociotechnical system development over time. It also highlights the role of the public sector, as both a supporter of the ecosystem and as an active innovator. The examined cases demonstrate that contemporary ecosystem support models have been useful niches to overcome initial hurdles, but that a sustained urban innovation ecosystem is a product of a distributed organizational field.

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